

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/459,522

12/13/99

CRUMP

C

041861-01500

0242/0121

LOCKE LIDDELL & SAPP LLP
ATTN: IP DOCKET CLERK
600 TRAVIS
SUITE 3400
HOUSTON TX 77002-3095

NOT ASSIGNED

3735

DATE MAILED:

01/21/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☐ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Ly Sr



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant:

CHET M. CRUMP
EDWARD B. MADSEN

Filed: December 13, 1999

Serial No.: 09/459,522

For: Endotracheal Catheter and Manifold Assembly with Improved Valve

§§

Art Unit: 3735

Examiner: Unassigned

Attorney Docket No. 041861-01500

TRANSMITTAL LETTER

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed for filing in the above-captioned application in response to the Notice to File

Missing Parts dated January 21, 2000, are the following documents:

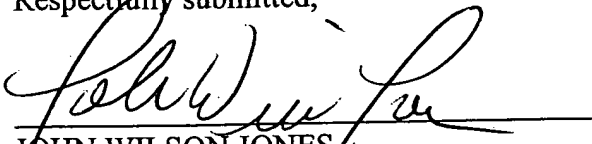
Copy of Notice of Missing Parts;
Combined Declaration and Power of Attorney;
Check in the amount of \$130.00 - surcharge fee)

Assignment Recordation Cover Sheet;
Assignment;
Check in the amount of \$40.00; and
Postcard.

The Commissioner is hereby authorized to charge any additional filing fees or credit any overpayment to Deposit Account No. 12-1322 (Our ref. 041861-01500).

Respectfully submitted,

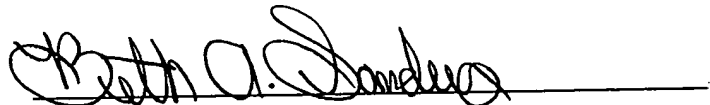
Date: 3/21/00


JOHN WILSON JONES
Registration No. 31,380

Locke Liddell & Sapp LLP
600 Travis, Suite 3400
Houston, Texas 77002-3095
(713) 226-1200
(713) 223-3717 FAX

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 21st day of March, 2000.


Beth A. Sanders